

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: KAGI, J.

SERIAL NO.: 10/030,065

FILING DATE: 01/03/2002

FOR: RING TRAVELER AND METHOD FOR PRODUCING A RING TRAVELER

ATTENTION: BOX PCT

Assistant Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF DOCUMENTS

Sir:

This is in reference to the "Notification of Missing Requirements Under 35 U.S.C. 371" dated March 19, 2002. Applicant filed with the original application on January 3, 2002 an unsigned and defective Declaration and Power of Attorney and an Initial Information Data Sheet as well as the fee of \$130.00 for late filing of the Oath/Declaration. In accordance with 37 C.F.R. §1.497(a), (b), and (f), Applicant is timely submitting herewith the signed "Declaration." Applicant is also enclosing a signed Power of Attorney, a copy of the Notification of Missing Requirements and a Petition for Extension of Time Under 37 CFR 1.136(a) with a Fee Transmittal sheet and appropriate fee.

Also included in response to the Notification of Missing Requirements is a Preliminary Amendment along with the English translation of the published PCT application WO/01/83864.

The above mentioned documents are to be filed in the United States Designated/Elected Office (DO/EO/US) in connection with the above-referenced patent application.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, DC 20231 on 06/19/2002.


Cecilia M. Sidebottom 6/19/2002
Date of Signature

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If there are any additional fees due in connection with this matter, please charge
Applicant's Deposit Account No. 01-0265.

Respectfully submitted,



W. Thad Adams, III
Reg. No. 29,037

W. Thad Adams, III
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Our File No. 1644/7

Rec'd PCT/PTO 25 JUN 2002
10/030065

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

110.00

Complete if Known

Application Number	10/030,065
Filing Date	01/03/2002
First Named Inventor	KAGI
Examiner Name	
Group Art Unit	
Attorney Docket No.	1644/7

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **01-0265**
Deposit Account Name **Adams, Schwartz & Evans, P.A.**

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1) (\$)				0.00	

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	X	=
Independent Claims	- 3** =	X	=
Multiple Dependent			=

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203
102	84	202
104	280	204
109	84	209
110	18	210
SUBTOTAL (2) (\$)		
0.00		

*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110.00
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)	110.00

Complete (if applicable)

SUBMITTED BY			
Name (Print/Type)	W. Thad Adams, III	Registration No. (Attorney/Agent)	29,037
Signature			
	Telephone	704-375-9249	
	Date	06/19/2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
www.uspto.gov

1644/1

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/030,065	Jorg KAGI	1647/7

INTERNATIONAL APPLICATION NO.

PCT/CH01/00183

I.A. FILING DATE	PRIORITY DATE
03/26/2001	05/03/2000

CONFIRMATION NO. 9286

371 FORMALITIES LETTER

ADAMS, SCHWARTZ & EVANS, P.A.

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R E C E I V E D

MAR 29 2002

Date Mailed: 03/19/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below, in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. The current translation of the application into English is defective as described below.
 - The number of claims in the International Application and the number of claims in the translation are not the same.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

5-19-02 - 1) Respond to Notification of Missing Requirements
 2) Docket Extensions of Time?

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/030,065	PCT/CH01/00183	1647/7

FORM PCT/DO/EO/905 (371 Formalities Notice)